

# WEBINAR *August 2012*

## NEW ACCOUNTS DOCUMENTATION AND IDENTIFICATION

ALDRICH BONNEFIN & MOORE, PLC

COUNSEL FOR BANKERS' COMPLIANCE GROUP® Presents a Webinar

Identifying and documenting new and existing customers is becoming increasingly difficult as financial institutions are expected to know more and more about their customers before permitting them to open and maintain deposit accounts. Account-opening procedures go far beyond obtaining the customer's name and TIN. Financial institutions are expected to be able to figure out not only the customer's "legal name," but also who is authorized to act on behalf of the particular customer as well as whether that customer poses a BSA risk.

Please join us as we discuss account documentation that will help your institution satisfy its CIP obligations as well as determine those individuals who are authorized to act for a particular business customer. We will also cover the proposal to implement a new burdensome customer due diligence rule.

This webinar should be a helpful exercise for both seasoned and less experienced new accounts personnel alike.

### Please join us as we look at the necessary account documentation in the following areas:

- Individual accounts
- Joint accounts
- Businesses (Corporations, Partnerships and LLC's)
- Fiduciary accounts (Decedents, Guardianships, Conservatorships)
- Trust accounts
- Proposed customer due diligence rule

Topic: **New Accounts Documentation and Identification**

Date: Tuesday, August 21, 2012

Time: 10:00 a.m.—12:00 p.m.

*Pricing and registration instructions on page 2*



### Speaker: Keith R. Forrester, Esq.

Keith R. Forrester, Esq., a principal of Aldrich Bonnefin & Moore, PLC, since 1993, has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as California and Federal laws on financial privacy. Mr. Forrester is a member of the firm's Operations Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.

### Who should attend:

- New accounts personnel
- Operations staff
- Compliance officers

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## August Webinar—New Accounts Documentation and Identification

### PRICING

**BCG Members: \$295.00 per connection.** The Webinar is being offered on an optional basis to BCG members. BCG Members will be billed; do not enclose a check.

**Non-Members: \$395.00 per connection.** To register, visit <http://register.bankerscompliancegroup.com/subadmin>. If you have any questions, or if you haven't registered online with us before, contact Paige Tyler at [PTyler@ABMLawFirm.com](mailto:PTyler@ABMLawFirm.com) or 800-742-3600 to obtain a username and password. Payment must be received prior to the webinar date. **Non-members may pay by check or credit card.** To pay by credit card, follow the credit card instructions during the online registration process. We accept MasterCard, Visa and Discover cards. To pay by check, make checks payable and mail to **Aldrich Bonnefin & Moore, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, along with a copy of your registration.

### CANCELLATION

**BCG Member:** Will **not** be billed only if registrant's cancellation notice is received **at least four** business days prior to the webinar date.

**Non-Members:** Registration fee will be **fully** refunded if registrant's cancellation notice is received **at least four** business days prior to the webinar date. Otherwise, the registration fee less \$50 will be refunded.

### ADMINISTRATIVE

We hope that you will mark your calendar to attend this informative webinar. If you plan to attend, please complete your online registration at least **two business days prior to the webinar date**.

For additional information regarding the webinar, please contact Paige Tyler at 949-553-0909 or toll free (BCG Members only) at 800-742-3600.

### ACCREDITATION

**MCLE:** As an added benefit we are offering this Webinar to in-house attorneys for Minimum Continuing Legal Education Credits. **NOTE:** This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **1.5 hours**. Aldrich Bonnefin & Moore certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.

### REGISTRATION

Log onto <http://ors.bankerscompliancegroup.com/subadmin/>. If you have not established an online registration account with us, call Paige Tyler at 800-742-3600.

When registering online please select from the following three options:

#### BCG WEBINAR LISTENING PREFERENCE August 21, 2012, 10:00 a.m.—12:00 p.m.

LIVE WEBINAR ONLY	\$295.00 (Member) \$395.00 (Non-Member )
AUDIO PACKAGE ONLY (CD)	\$295.00 (Member) \$395.00 (Non-Member )
LIVE WEBINAR & AUDIO PACKAGE (CD)	A 20% discount will be applied to the audio package when ordering both the live webinar and audio package.

### PARTICIPANT INSTRUCTIONS

#### Web:

- Go to <http://www.bankerscompliancegroup.com/> and select the icon "BCG Webinars Download Agenda/Outline"
- On the **Join a Meeting** side of the login page, enter Meeting Room **aldbon1**
- Enter your PIN provided by Aldrich Bonnefin & Moore in the Access Code field
- Click **Join Meeting**
- Make sure your PC speakers are turned on and at the correct volume

#### Phone (optional):

- Call **866-927-1521** 5 to 10 minutes prior to the conference start time
- Enter your PIN provided by Aldrich Bonnefin & Moore
- You will hear music on hold until the conference is started or be connected directly to the conference if it has already started
- During the conference, you will be in listen-only mode until a question & answer period, when you will be told how to ask questions

### STANDARD REQUIREMENTS

#### Minimum System Requirements Browser:

JavaScript enabled browser such as Microsoft Internet Explorer 6 or later, Mozilla Firefox, Google Chrome, Safari

#### Internet:

56k or faster Internet connection (high-speed is recommended)

#### Streaming:

For audio/video streaming, Adobe Flash plug-in version 9 or higher is required.