

MAY

2015

Webinar

New Accounts Documentation

Thursday, May 14, 2015
10:00 a.m.—12:00 p.m.



WHO SHOULD ATTEND?

- New accounts staff
- Compliance officers, auditors and staff
- In-house counsel
- Operations administration

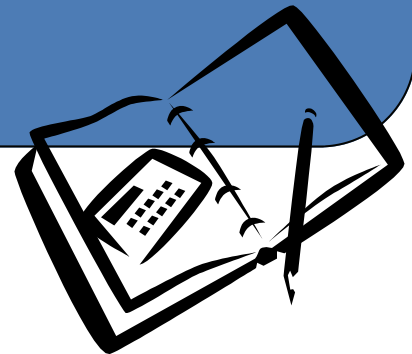
Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

Financial institutions have an obligation to properly identify and document both new and existing customers and are also expected to know more and more about their customers before permitting them to open and maintain deposit accounts. Trying to determine not only the customer's "legal name" but also who is authorized to act on behalf of the particular customer and whether that customer poses a BSA risk is becoming increasingly more difficult.

Please join us as we discuss account documentation in a way that will help your institution satisfy its CIP and customer due diligence obligations, including identifying the individuals authorized to act on behalf of business and fiduciary customers. This Webinar will be a helpful learning experience for both seasoned and less experienced new accounts personnel.

NEW ACCOUNTS COVERED

- Individuals
- Guardianships & conservatorships
- Decedent estates
- Businesses (corporations, partnership and LLCs)
- Property management companies
- Escrow companies



SPEAKER

Keith R. Forrester

Principal, Aldrich & Bonnefin, PLC

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&
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Live Webinar <u>AND</u> CD-ROM	A 20% discount will be applied to the CD-ROM when you order both the Live Webinar and the CD-ROM

REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **two business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Webinar.

If you have not established an online event registration account, please contact Paige Tyler at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment no later than 12:00 p.m. on Tuesday, May 12. BCG Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029** and indicate the event for which you are registering.

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ACCREDITATION

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted on the conference website and note the two verification codes that will be announced during the Webinar.