

January 30

2024

Webinar

Got Conflicts? Learn How to Recognize and Control Them!

Tuesday, January 30, 2024
10:00 a.m. —12:00 p.m. PT

Presented by

Mark E. Aldrich, Esq.

Principal of Aldrich & Bonnefin, PLC



WHO SHOULD ATTEND?

Loan Officers, Chief Credit Officers, Private Bankers, Compliance Officers and staff, Credit Administrators, and Internal Auditors

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC*

This Webinar will provide a comprehensive discussion of the rules regarding conflicts of interest, insider transactions and other laws governing transactions with affiliates, executive officers, directors, and principal shareholders of financial institutions and their related interests. The program will cover the following topics:

- Conflicts of interest and codes of conduct
- Regulation O and loans to insiders
- Regulation W and transactions with affiliates
- California state laws regarding insider transactions
- Loans to credit union officials
- Bank Bribery Act and related laws
- Foreign Corrupt Practices Act

We will begin with an overview of fiduciary duties and transactions that could pose a conflict of interest, which should be addressed in your institution's Code of Conduct.

We will also discuss related issues regarding the indebtedness of executive officers and directors of related companies, such as bank holding companies and correspondent banks. Additionally, we will address transactions that are prohibited under the Bank Bribery Act and the Foreign Corrupt Practices Act. Don't miss these hot examination topics!

Topics to be covered include:

- Conflicts of interest and codes of conduct
- Restrictions on loans to insiders, including insiders of correspondent banks
- Restrictions on affiliate transactions
- Bank Bribery Act and related issues

ON-DEMAND STREAMING AVAILABLE

ALDRICH
&
BONNEFIN

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* Janet Bonnefin is retired from the practice of law with the firm.

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PRICING

When registering online, please select from the following options:

Live Webinar (Live Webinar connection allows only 1 user)	\$315.00 (BCG Members)
	\$415.00 (BCG Non-members)
On-Demand Event (available 3 business days after Webinar is held)	\$335.00 (BCG Members)
	\$435.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Bankers Compliance Group® Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events."

Registration for the Live Webinar closes on **Thursday, January 25, at 10 a.m.** Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Live Webinar.

Streaming the On-Demand Event will be available 3 business days after the Webinar is held. Registrants may stream the event for 60 days after purchase. Registrants will receive an email with instructions on how to access the On-Demand Webinar and download the materials within approximately 24 hours after we have confirmed your payment has been received.

If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment for the Live Webinar no later than **10:00 a.m. on Thursday, January 25.** Non-members may pay by credit card or check for the Live Webinar and the On-Demand Event. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION POLICY

BCG Members: We must receive your written cancellation notice by **10:00 a.m. on Thursday, January 25**, with no charge. If we receive your cancellation request after this deadline, we will bill you \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, we will bill you the full registration fee.

Non-members: We must receive your written cancellation notice by **10:00 a.m. on Thursday, January 25**, in which case we will refund your registration fee. If we receive your cancellation request after this deadline, we will refund your registration fee, less \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, your registration fee is non-refundable.

On-Demand Event: Once your payment for the On-Demand Event is complete, your registration fee is nonrefundable .

ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.