

JANUARY 26

2017

Webinar

## BSA – Customer Due Diligence

Thursday, January 26  
10:00 a.m. – 12:00 p.m.



### WHO SHOULD ATTEND?

- New accounts staff
- Compliance officers
- Operations officers
- In-house counsel
- Note department staff

Presented by Counsel for Bankers' Compliance Group®  
ALDRICH & BONNEFIN, PLC

**D**etermining the legal identity of customers at account opening under CIP is relatively easy. However, once you have identified a customer, what should you do next? Should you even open the account? And if you do, what documentation must you obtain and what type of monitoring efforts do you need to undertake?

This Webinar is designed to provide simple and straightforward training necessary to help you and your staff understand a financial institution's customer due diligence (CDD) obligations under the Bank Secrecy Act. And of course, where would effective BSA training be without also discussing the new beneficial ownership rule? This "drilling down" requirement regarding a customer's ownership control supplements the institution's customer due diligence and even paves the way for enhanced due diligence when necessary.

It is no secret that BSA compliance and CDD remain high on BSA examiners' lists for all financial institutions and their employees, regardless of job function. With these thoughts in mind, we will navigate the regulatory maze of getting to know your customers.

### WEBINAR HIGHLIGHTS

- Customer Identification Program requirements
- Understanding customer due diligence and know your customer
- Identifying beneficial owners and controlling persons
- Recognizing high-risk customers at account opening
- Conducting enhanced due diligence when necessary

**SPEAKER: Keith R. Forrester**  
Principal, Aldrich & Bonnefin, PLC

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### PRICING

When registering online, please select from the following options:

Live Webinar (Single Connection)	\$295.00 (BCG Members)
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Live Webinar <u>AND</u> CD-ROM	A 20% discount will be applied to the CD-ROM when you order both the Live Webinar and the CD-ROM

### REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **three business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation three business days before the Webinar.

If you have not established an online event registration account, please contact Paige Tyler at 800-742-3600 or email her at [info@bankerscompliancegroup.com](mailto:info@bankerscompliancegroup.com) to obtain a username and password.

### PAYMENT

**BCG Members:** This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

**Non-members:** We must receive payment no later than 10:00 a.m. on Monday, January 23. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029** and indicate the event for which you are registering.

### CANCELLATION

**BCG Members** will be billed \$50 unless we receive a written cancellation notice by 10:00 a.m. on Monday, January 23.

**Non-members** will be **fully** refunded if we receive a written cancellation notice by 10:00 a.m. on Monday, January 23. Otherwise, the registration fee, less \$50, will be refunded.

### ACCREDITATION

**MCLE:** As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted on the conference website and note the two verification codes that will be announced during the Webinar.