

# JANUARY

# 2014

## Webinar

# COMPLIANCE ROUNDUP FOR 2014

Tuesday, January 21  
10:00 a.m.—12:00 p.m.



### WHO SHOULD ATTEND?

- Chief executive officers
- Compliance officers, auditors and staff
- In-house counsel
- Operations administration
- Loan officers
- Note department staff

Presented by Counsel for Bankers' Compliance Group®  
**ALDRICH BONNEFIN & MOORE, PLC**

**O**n January 21, 2014, Aldrich Bonnefin & Moore is presenting a Webinar to highlight the regulatory changes that will have an impact on financial institutions and require action in 2014. This presentation will include a high-level review of developing areas of the financial services industry (including laws, regulations and products) that are expected to undergo changes next year. These areas include consumer and mortgage lending, banking operations and corporate governance.

### Highlighted areas will include:

#### Consumer & Mortgage Lending

- ◆ New ability-to-repay mortgage rules, effective January 10, 2014
- ◆ New Regulation B appraisal rule, effective January 18, 2014
- ◆ Upcoming flood insurance escrows, expected to be effective July 6, 2014
- ◆ Early preparation for 2015 integrated TILA/RESPA disclosures

#### Corporate Governance

- ◆ Corporate governance developments
- ◆ New capital rules and planning implications
- ◆ Compliance aspects of new investment rules
  - Final Volcker rule
  - Derivatives & swaps
  - Credit risk retention rule

#### Banking Operations

- ◆ UDAAP redux
- ◆ Outsourcing risk
- ◆ Websites and other E-channels

### SPEAKERS

**Mark E. Aldrich,**

**Mark A. Moore and Robert K. Olsen**



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# January 21, 2014 Webinar—Compliance Roundup for 2014

## PRICING

**BCG Members: \$295.00 per connection.** The Webinar is being offered on an optional basis to BCG members. BCG Members will be billed; do not enclose a check.

**Non-Members: \$395.00 per connection.** To register, visit <http://register.bankerscompliancegroup.com/subadmin>. If you have any questions, or if you haven't registered online with us before, contact Paige Tyler at [PTyler@ABMLawFirm.com](mailto:PTyler@ABMLawFirm.com) or 800-742-3600 to obtain a username and password. Payment must be received prior to the webinar date. **Non-members may pay by check or credit card.** To pay by credit card, follow the credit card instructions during the online registration process. We accept MasterCard, Visa and Discover cards. To pay by check, make checks payable and mail to **Aldrich Bonnefin & Moore, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, along with a copy of your registration.

## REGISTRATION

To register for a BCG Webinar, log onto <http://ors.bankerscompliancegroup.com/subadmin/> at least **one business day** prior to the webinar date.

If you have not established an online registration account with us, please contact Paige Tyler at 949- 553-0909 or toll free (BCG Members only) at 800-742-3600.

## CANCELLATION

**BCG Members** will **not** be billed only if registrant's cancellation notice is received **at least three** business days prior to the webinar date.

**Non-members** will be **fully** refunded if registrant's cancellation notice is received **at least three** business days prior to the webinar date. Otherwise, the registration fee less \$50 will be refunded.

## ACCREDITATION

**MCLE:** As an added benefit we are offering this Webinar to in-house attorneys for MCLE Credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich Bonnefin & Moore certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted at the conference site. In addition, attorneys must note the two verification codes that will be announced and email those to [PTyler@ABMLawFirm.com](mailto:PTyler@ABMLawFirm.com).

## WEBINAR LISTENING PREFERENCES

When registering online, please select from the following options:

Live Webinar	\$295.00 (Member) \$395.00 (Non-member)
Audio Package (CD)	\$295.00 (Member) \$395.00 (Non-member)
Live Webinar <u>AND</u> Audio Package (CD)	A 20% discount will be applied to the audio package when ordering both the live webinar and audio package

## PARTICIPANT INSTRUCTIONS

### Web

- Go to <http://www.bankerscompliancegroup.com/> and select the icon "BCG Webinars Download Agenda/Outline"
- On the **Join a Meeting** side of the login page, enter Meeting Room **aldbon1**
- Enter your PIN provided by Aldrich Bonnefin & Moore in the Access Code field
- Click **Join Meeting**
- Make sure your PC speakers are turned on and at the correct volume

### Phone (optional)

- Call **866-927-1521** 5 to 10 minutes prior to the conference start time
- Enter your PIN provided by Aldrich Bonnefin & Moore
- While on hold you will hear music until the conference is started or be connected directly to the conference if it has already started
- During the conference, you will be in listen-only mode until a question & answer period, when you will be told how to ask questions

## TECHNOLOGY REQUIREMENTS

### Minimum System Requirements Browser

JavaScript enabled browser such as Microsoft Internet Explorer 6 or later, Mozilla Firefox, Google Chrome and Safari

### Internet

56k or faster Internet connection (high-speed is recommended)

### Streaming

For audio/video streaming, Adobe Flash plug-in version 9 or higher is required