

April 7

2022

Webinar

Documenting New Deposit Accounts

Thursday, April 7, 2022
10:00 a.m. – 12:00 p.m. PDT

Presented by
Anne M. McEvilly, Esq.



WHO SHOULD ATTEND

- New accounts staff
- Compliance officers, auditors and staff
- In-house counsel
- Operations administration

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

Financial institutions have an obligation to properly identify and document new deposit account customers. Customer Identification Program (CIP) and Beneficial Ownership Certification requirements under the Bank Secrecy Act impose specific regulatory requirements for identifying and documenting new deposit customers. IRS information reporting for interest-bearing accounts also triggers the need for W-9 or W-8 certifications. It is important from a compliance and legal risk perspective to properly document your customer and to understand who is authorized to act on their behalf.

Please join us as we discuss account documentation in a way that will help you understand the institution's regulatory requirements and better position the institution from a risk perspective. This Webinar will be a helpful learning experience for both seasoned and less-experienced new accounts personnel.

Highlighted areas will include:

- CIP
- Beneficial Ownership Certifications
- IRS Forms W-9 and W-8
- Individuals
- Guardianships & conservatorships
- Decedent estates
- Businesses
 - Corporations
 - LLCs
 - Partnerships
- Fiduciary Accounts

ON-DEMAND STREAMING AVAILABLE

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PRICING

When registering online, please select from the following options:

Live Webinar (Live Webinar connection will allow only 1 user)	\$315.00 (BCG Members)
	\$415.00 (BCG Non-members)
On-Demand Event (available 3 business days after Webinar is held)	\$335.00 (BCG Members)
	\$435.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events."

Registration for the Live Webinar closes at noon on, Monday, April 4. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Live Webinar.

Streaming the On-Demand Event will be available 3 business days after the Webinar is held. Registrants may stream the event for 60 days after purchase. Registrants will receive an email with instructions on how to access the On-Demand Webinar and download the materials within approximately 24 hours after we have confirmed your payment has been received.

If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment for the Live Webinar no later than 10:00 a.m. on Monday, April 4. Non-members may pay by credit card or check for the Live Webinar or the On-Demand Event. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION POLICY

BCG Members: We must receive your written cancellation notice by 10:00 a.m. on Monday, April 4 with no charge. If we receive your cancellation request after this deadline, we will bill you \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, we will bill you the full registration fee.

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ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.