

APRIL

2014

## Webinar

### BSA: Customer Due Diligence Made Simple

Wednesday, April 23, 2014  
10:00 —11:30 a.m.



#### WHO SHOULD ATTEND?

- New accounts personnel
- Compliance officers
- Operations officers
- In-house counsel
- Note department staff

Presented by Counsel for Bankers' Compliance Group®  
ALDRICH BONNEFIN & MOORE, PLC

The easiest time to identify customers is at account opening. However, once you have identified a customer, what should you do next? Should you even open the account? And if you do, what documentation must you obtain and what type of monitoring efforts do you need to undertake?

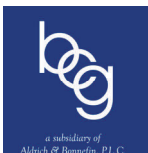
This Webinar is designed to provide simple and straightforward training necessary to help you and your staff understand a financial institution's customer due diligence (CDD) obligations under the Bank Secrecy Act. And of course, where would effective BSA training be without also discussing beneficial ownership requirements? This "drilling down" regarding a customer's identity supplements the institution's customer identification program and even paves the way for enhanced due diligence when necessary.

It is no secret that BSA compliance and CDD remain high on BSA examiners' lists for all financial institutions and their employees, regardless of job function. With these thoughts in mind, we will navigate the regulatory maze of getting to know your customers.

#### WEBINAR HIGHLIGHTS

- Customer Identification Program requirements
- Understanding due diligence and know your customer
- Recognizing high risk customers at account inception
- When is enhanced due diligence necessary?
- When should you identify beneficial owners?
- How does due diligence relate to the bank's ability to monitor the relationship?

**SPEAKER: Keith R. Forrester,**  
**Principal with Aldrich Bonnefin & Moore, PLC**



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# April 23, 2014 Webinar—BSA: Customer Due Diligence Made Simple

## PRICING

**BCG Members: \$275.00 per connection.** The Webinar is being offered on an optional basis to BCG members. BCG Members will be billed; do not enclose a check.

**Non-Members: \$375.00 per connection.** To register, visit <http://register.bankerscompliancegroup.com/subadmin>. If you have any questions, or if you haven't registered online with us before, contact Paige Tyler at [PTyler@ABMLawFirm.com](mailto:PTyler@ABMLawFirm.com) or 800-742-3600 to obtain a username and password. Payment must be received prior to the Webinar date. **Non-members may pay by check or credit card.** To pay by credit card, follow the credit card instructions during the online registration process. We accept MasterCard, Visa and Discover cards. To pay by check, make checks payable to Aldrich Bonnefin & Moore and mail to **Aldrich Bonnefin & Moore, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, along with a copy of your registration.

## REGISTRATION

To register for a BCG Webinar, log onto <http://ors.bankerscompliancegroup.com/subadmin/> at least **one business day** prior to the webinar date.

If you have not established an online registration account with us, please contact Paige Tyler at 949- 553-0909 or toll free (BCG Members only) at 800-742-3600.

## CANCELLATION

**BCG Members** will **not** be billed only if registrant's cancellation notice is received **at least three** business days prior to the webinar date.

**Non-members** will be **fully** refunded if registrant's cancellation notice is received **at least three** business days prior to the webinar date. Otherwise, the registration fee less \$50 will be refunded.

## ACCREDITATION

**MCLE:** As an added benefit we are offering this Webinar to in-house attorneys for MCLE Credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **1.5 hours**. Aldrich Bonnefin & Moore certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted at the conference site. In addition, attorneys must note the two verification codes that will be announced and email those to [PTyler@ABMLawFirm.com](mailto:PTyler@ABMLawFirm.com).

## WEBINAR LISTENING PREFERENCES

When registering online, please select from the following options:

|                                       |   |
|---------------------------------------|---|
| Live Webinar                          | \$275.00 (Member)<br>\$375.00 (Non-member)  |
| Webinar CD                            | \$275.00 (Member)<br>\$375.00 (Non-member)  |
| Live Webinar <u>AND</u><br>Webinar CD | A 20% discount will be applied to the Webinar CD when ordering both the Live Webinar and Webinar CD |

## PARTICIPANT INSTRUCTIONS

### Web

- Go to <http://www.bankerscompliancegroup.com/> and select the icon "BCG Webinars Download Agenda/Outline"
- On the **Join a Meeting** side of the login page, enter Meeting Room **aldbon1**
- Enter your PIN provided by Aldrich Bonnefin & Moore in the Access Code field
- Click **Join Meeting**
- Make sure your PC speakers are turned on and at the correct volume

### Phone (optional)

- Call **866-927-1521** 5 to 10 minutes prior to the conference start time
- Enter your PIN provided by Aldrich Bonnefin & Moore
- While on hold you will hear music until the conference is started or be connected directly to the conference if it has already started
- During the conference, you will be in listen-only mode until a question & answer period, when you will be told how to ask questions

## TECHNOLOGY REQUIREMENTS

### Minimum System Requirements Browser

JavaScript enabled browser such as Microsoft Internet Explorer 6 or later, Mozilla Firefox, Google Chrome and Safari

### Internet

56k or faster Internet connection (high-speed is recommended)

### Streaming

For audio/video streaming, Adobe Flash plug-in version 9 or higher is required