

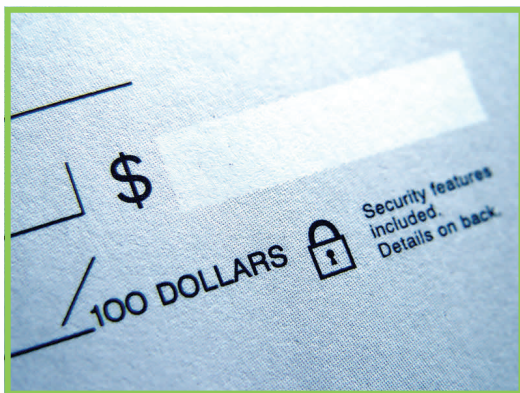
# Negotiable Instruments Law

March 2014

**A**ldrich Bonnefin & Moore, PLC is pleased to invite you and your operations personnel to attend our Negotiable Instruments Seminar. This seminar should prove useful to personnel involved in risk management as well as those with a more advanced knowledge of banking operations. The program should also be helpful for beginners.

We will review the requirements for check signatures and endorsements, the check collections process, and the various types of claims that may be asserted against both depository and payor banks. This discussion will also focus on the impact electronic check processing has on both check collection and claims due to Check 21, image exchange and remote deposit.

Finally, we will discuss the recently published proposed amendments to Regulation CC's funds availability and check collection rules.



## TOPICS

- Signature and endorsement requirements
- Liability for forged and altered checks
- Proposed amendments to Reg CC
- Impact of electronic check processing



Check 21



Image exchange



Remote deposit

### WHO SHOULD ATTEND?

- Operations personnel
- New accounts personnel
- In-house counsel
- Risk management

**Keith R. Forrester, Esq.**, is a principal with Aldrich Bonnefin & Moore, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as California and Federal right to financial privacy laws. Mr. Forrester is a member of the firm's Operations Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.

**Mark A. Moore, Esq.**, is a principal of Aldrich Bonnefin & Moore, PLC. He associated with the firm in May 1990 and became a shareholder in 1994. He specializes in banking operations, and he manages the Banking Operations Practice Group for the firm. He is the President and CEO of the law firm and Adjunct Professor of Law at Thomas Jefferson School of Law, where he teaches classes on Consumer Compliance.



Our **Negotiable Instruments Law Seminar** will be held from **8:30 a.m. - 3:00 p.m.** in the following locations .  
 You will need to note the specific location you are attending as hotels can vary from month to month.

LOCATIONS:	DATE:	HOTEL INFO:	PARKING:
ONTARIO	Tuesday, March 4 Registration closes at noon on 2/26	<b>Ontario Doubletree</b> 222 North Vineyard Ave., (909) 937-0900	Free self-parking is available.
SACRAMENTO	Thursday, March 6 Registration closes at noon on 2/28	<b>Sheraton Grand Sacramento Hotel</b> 1230 J St., (916) 447-1700	Validated self-parking is available in garage on 13th Street, between I and J Streets. Valet-parking not validated.
SAN FRANCISCO	Friday, March 7 Registration closes at noon on 3/3	<b>Palace Hotel</b> 2 New Montgomery St., (415) 512-1111	We validate for hotel valet-parking only.
SANTA BARBARA	Wednesday, March 12 Registration closes at noon on 3/6	<b>Four Seasons Resort, The Biltmore</b> 1260 Channel Dr., (805) 969-2261	Free self-parking is available.
WOODLAND HILLS	Thursday, March 13 Registration closes at noon on 3/7	<b>Warner Center Marriott</b> 21850 Oxnard St., (818) 887-4800	We validate for self-parking only.
SAN JOSE	Tuesday, March 18 Registration closes at noon on 3/12	<b>Hilton Hotel</b> 300 Almaden Blvd., (408) 287-2100	We validate for self-parking only.
LOS ANGELES	Thursday, March 20 Registration closes at noon on 3/14	<b>LAX Airport Marriott</b> 5855 West Century Blvd., (310) 641-5700	We validate for self-parking only.
SAN DIEGO	Monday, March 24 Registration closes at noon on 3/18	<b>Marriott Mission Valley</b> 8757 Rio San Diego Dr., (619) 692-3800	We validate for self-parking only.
MONROVIA	Wednesday, March 26 Registration closes at noon on 3/22	<b>Courtyard Marriott</b> 700 W. Huntington Drive, (626) 357-5211	Free self-parking is available.
GARDEN GROVE	Friday, March 28 Registration closes at noon on 3/24	<b>Anaheim Marriott Suites</b> 12015 Harbor Blvd., (714) 750-1000	Free self-parking is available.

**REGISTRATION:** To register, go to <http://register.bankerscompliancegroup.com/subadmin>. We recommend you register as soon as possible as registrations are accepted on a first-come, first-serve basis only. If you haven't registered online with us before, contact Paige Tyler at 800-742-3600 or [PTyler@ABMLawFirm.com](mailto:PTyler@ABMLawFirm.com) for assistance or to obtain a username and password.

**REGISTRATION FEES FOR NON-MEMBERS:** Non-member registration fee is \$750 for the first person attending from an institution and \$450 for each additional person from the same institution. To attend this seminar, **pre-registration is mandatory**. **Non-members may pay by check or credit card.** To pay by credit card, follow the credit card instructions during the online registration process. We accept MasterCard, Visa and Discover cards. To pay by check, make checks payable and mail to **Aldrich Bonnefin & Moore, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, along with a copy of your registration. Non-member registration fees (less \$50) will be refunded only if cancellation notice is received 5 business days prior to the event.

**MATERIALS:** For this seminar, Standard Procedures Manual #7, "Negotiable Instruments Law," will be updated. Only those attendees designated by their institution will receive a manual. All attendees will receive a Discussion Outline. Members Only may purchase additional copies of SPM #7 for \$35.00/copy during March, plus sales tax.

**FOOD:** A continental breakfast will be provided. You will be on your own for lunch.

**DISABILITIES:** If you require special accommodation in order to fully participate in the seminar, please call Paige Tyler at 800-742-3600 to discuss your specific needs.

**ACCREDITATION: MCLE:** Aldrich Bonnefin & Moore, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

**CRCM:** Certified Regulatory Compliance Managers must submit a request for approval directly to the Institute of Certified Bankers in order to receive continuing education credit ([www.icbmembers.org](http://www.icbmembers.org) and click on "Submit Continuing Education Credits)." This activity **may** be eligible for **5.75** hours of continuing education credit by the ICB.

**CPE:** Aldrich Bonnefin & Moore, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.

For additional information, please contact Paige Tyler at (949) 553-0909 or toll free (BCG Members only) at (800) 742-3600.