

Legal Processes

May 2022

Aldrich & Bonnefin, PLC is pleased to invite you to attend our Seminar on Legal Processes. The program will focus on levies and subpoenas served by private parties as well as those served by state and federal government agencies.

Our discussion will include the fundamentals of processing a levy and the important principles for determining whether a business or personal account is subject to levy. We will also cover some of the more common automatic exemptions that may apply depending on the customer's account and the particular levy.

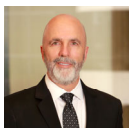
In addition to levies and executions, we will discuss requests for customers' financial records pursuant to civil subpoenas as well as government requests under the California and Federal Right to Financial Privacy laws.

This Seminar is intended to be of benefit to individuals with all levels of experience.

WHO SHOULD ATTEND?

Please invite your operations officers, personnel who handle legal processes, compliance officers, in-house counsel, branch managers and new accounts personnel.

We have scheduled only
Recording Playbacks with Live Q&As
for this Seminar.



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, negotiable instruments, electronic banking, new accounts, treasury services, right to financial privacy laws and legal processes.



John M. Davis, Esq., an associate of Aldrich & Bonnefin, joined the firm in May 2017 as a Summer Associate and remained with the firm as a law clerk until he became an Associate Attorney in June 2019. Mr. Davis graduated from Whittier Law School, Summa Cum Laude in December 2018. While at Whittier he served as a fellow at the school's Center for Intellectual Property Law. He received his bachelor's degree from Boise State University in 2013. In 2019, Mr. Davis co-authored the summary of California Financial Institution Regulation for the online Practical Law publication of Thomson Reuters.

SEMINAR HIGHLIGHTS

Property Subject to Levy

- ... Individual accounts
- ... Business accounts
- ... Fiduciary accounts

Writs and Levies

- ... Writs of execution
- ... Government levies
- ... Common automatic exemptions

Right to Financial Privacy

- ... Civil subpoenas
- ... State and federal RFPAs
- ... Grand jury subpoenas

ALDRICH
&
BONNEFIN

Professional Law Corporation

BANKERS' COMPLIANCE GROUP®

phone: 800.742.3600

fax: 949.474.0617

Email: info@bankerscompliancegroup.com



SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY

(Continuing education credit will be provided only to the registrant)

	PLAYBACK DATE AND TIME	REGISTRATION CLOSE DATE
RECORDING PLAYBACK #1	Wednesday, May 11, 8:30 a.m. - 3:00 p.m.	Friday, May 6, 2022, 12:00 p.m.
RECORDING PLAYBACK #2	Friday, May 13, 8:30 a.m. - 3:00 p.m.	Tuesday, May 10, 2022, 12:00 p.m.
RECORDING PLAYBACK #3	Tuesday, May 17 2022, 8:30 a.m. - 3:00 p.m.	Thursday, May 12, 2022, 12:00 p.m.
RECORDING PLAYBACK #4	Thursday, May 19, 2022, 8:30 a.m. - 3:00 p.m.	Monday, May 16, 2022, 12:00 p.m.
RECORDING PLAYBACK #5	Wednesday, May 25, 2022, 8:30 a.m. - 3:00 p.m.	Friday, May 20, 2022, 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, Standard Procedures Manual #04, "Legal Processes," will be updated. Each BCG member institution will receive via email a link to a downloadable PDF of the Standard Procedures "E-Manual." As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Seminar.

ACCREDITATION

(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: American Bankers Association (ABA) Professional Certifications has approved Legal Processes for: **5.75** CRCM credits. APPROVED NON-ABA CE search for training will appear as: Aldrich & Bonnefin, PLC | Legal Processes

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.