



ALDRICH & BONNEFIN, PLC

Counsel to Members of Bankers' Compliance Group®
Presents

bankers' compliance group®

Negotiable Instruments Law

March 2016

Aldrich & Bonnefin is pleased to invite you and your operations personnel to attend our Negotiable Instruments Seminar. This seminar should prove useful to personnel involved in risk management as well as those with a more advanced knowledge of banking operations. The program should also be helpful for beginners.

We will review the requirements for check signatures and endorsements, the check collection process, funds availability rules and the various types of claims that may be asserted against both depository and payor banks. This discussion will also focus on the impact electronic check processing has on both check collection and claims due to Check 21, image exchange and remote deposit.

Finally, we will discuss stop payment rights, including the prerequisites to stopping payment on lost cashier's checks.



HIGHLIGHTS

- Signature and endorsement requirements
- Liability for forged and altered checks
- Funds availability under Reg CC
- Impact of electronic check processing
- Stop payment rights

WHO SHOULD ATTEND?

- Operations personnel
- New accounts personnel
- In-house counsel
- Risk management officers

SPEAKER: **Keith R. Forrester, Esq.**, is a principal with Aldrich & Bonnefin, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as California and Federal right to financial privacy laws. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.



Our **Negotiable Instruments Law Seminar** will be held from **8:30 a.m. - 3:00 p.m.** in the following locations. **You will need to note the specific location you are attending as hotels can vary from month to month.**

LOCATIONS:	DATE:	HOTEL INFO:	PARKING:
SANTA BARBARA	Tuesday, March 1 Registration closes at noon on 2/24	Four Seasons Resort, The Biltmore 1260 Channel Dr., (805) 969-2261	Free self-parking is available.
WOODLAND HILLS	Wednesday, March 2 Registration closes at noon on 2/25	Warner Center Marriott 21850 Oxnard St., (818) 887-4800	We validate for self-parking only.
LOS ANGELES	Friday, March 4 Registration closes at noon on 2/29	LAX Airport Marriott 5855 West Century Blvd., (310) 641-5700	We validate for self-parking only.
ONTARIO	Monday, March 7 Registration closes at noon on 3/1	Ontario Doubletree 222 North Vineyard Ave., (909) 937-0900	Free self-parking is available.
GARDEN GROVE	Tuesday, March 8 Registration closes at noon on 3/2	Anaheim Marriott Suites 12015 Harbor Blvd., (714) 750-1000	Free self-parking is available.
SAN FRANCISCO	Thursday, March 10 Registration closes at noon on 3/4	Palace Hotel 2 New Montgomery, (415) 512-1111	We validate for hotel valet-parking only.
SAN JOSE	Friday, March 11 Registration closes at noon on 3/7	San Jose Marriott 301 South Market Street, (408) 280-1300	We validate for hotel valet-parking only.
SAN DIEGO	Tuesday, March 15 Registration closes at noon on 3/9	Marriott Mission Valley 8757 Rio San Diego Dr., (619) 692-3800	We validate for self-parking only.
MONROVIA	Tuesday, March 22 Registration closes at noon on 3/16	Courtyard Marriott 700 W. Huntington Drive, (626) 357-5211	Free self-parking is available.
SACRAMENTO	Friday, March 25 Registration closes at noon on 3/21	Sheraton Grand Sacramento Hotel 1230 J St., (916) 447-1700	Validated self-parking is available in garage on 13th Street, between I and J Streets. Valet-parking not validated.

REGISTRATION: To register for this Seminar, please contact your institution's Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis. If you haven't registered online with us before, contact Paige Tyler at 800-742-3600 or info@bankerscompliancegroup.com for assistance or to obtain a username and password.

REGISTRATION FEES FOR NON-MEMBERS: Non-member registration fee is \$750 for the first person attending from an institution and \$450 for each additional person from the same institution. To attend this Seminar, pre-registration and confirmation is mandatory. **Non-members may pay by credit card or check.** To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029** and indicate the event you are registering for. Non-member registration fees (less \$50) will be refunded only if cancellation notice is received **5** business days prior to the Seminar date.

MATERIALS: For this Seminar, Standard Procedures Manual #7, "Negotiable Instruments Law" will be updated. Only those attendees designated by their institution will receive a Manual. All registered attendees will receive a Discussion Outline. Members Only may purchase additional hard copies of SPM #7 during May for \$35.00 per copy plus shipping charges and applicable sales tax.

FOOD: A continental breakfast will be provided. You will be on your own for lunch.

ATTIRE: Business casual. Because the temperature in ballrooms can fluctuate, please dress accordingly.

DISABILITIES: If you require special accommodation in order to fully participate in the Seminar, please call Paige Tyler at 800-742-3600 to discuss your specific needs.

ACCREDITATION

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: Certified Regulatory Compliance Managers must submit a request for approval directly to the Institute of Certified Bankers in order to receive continuing education credit (www.icbmembers.org and click on "Submit Continuing Education Credits). This activity **may** be eligible for **6** continuing education credits.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.