



bankers' compliance group®

ALDRICH BONNEFIN & MOORE, PLC
Counsel for Bankers Compliance Group® Presents

28th Annual Management & Directors Seminar

GAME CHANGERS IN BANKING AHEAD:

A Preview of What's to Come in 2014



Keynote Speaker:

Jan Lynn Owen

Commissioner

California Department of Business Oversight



Keynote Speaker:

Edwin L. Chow

Regional Director, West Region

Consumer Financial Protection Bureau



THURSDAY, DECEMBER 12, 2013

Palace Hotel, San Francisco

New Montgomery Street

San Francisco

FRIDAY, DECEMBER 13, 2013

Newport Beach Marriott Hotel

900 Newport Center Drive

Newport Beach

IMPORTANT TOPICS

- Big Changes in Corporate Governance, Capital and Investment Rules:
 - Focus on Strategic Planning and Risk Management Aspects
 - Current Regulatory Compliance Developments
- Operations Hot Topic: How to Mitigate Risks in Your Payments Products and Vendor Relationships
 - Changes Looming for Bank Boards
 - Top 10 Risk Management Issues for 2014

Aldrich Bonnefin & Moore Speakers:

Mark E. Aldrich, Esq.

Robert K. Olsen, Esq.

Mark A. Moore, Esq.

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WHO SHOULD ATTEND?

BCG's Management and Directors Seminar is always a popular program. All Senior Officers, Directors and Compliance Managers are welcome to attend. Please distribute this announcement at Board Meetings and encourage Senior Officers and your Board of Directors to participate and take advantage of this educational opportunity your institution enjoys as a BCG member. BCG Standard Procedures Manual #17, *Managing Financial Institutions*, will be updated and distributed to each attendee.

ACCREDITATION

MCLE: Aldrich Bonnefin & Moore, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of 4.75 hours.

CRCM: Certified Regulatory Compliance Managers must submit a request for approval directly to the Institute of Certified Bankers in order to receive continuing education credit (www.icbmembers.org and click on "Submit Continuing Education Credits). This activity may be eligible for 5.75 hours of continuing education credit by the ICB.

CPE: Aldrich Bonnefin & Moore, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for 4.75 hours of CPE Continuing Education credit.

REGISTER ONLINE

Seating is limited, so we urge you to **register early** at <http://register.bankerscompliancegroup.com>. (Registration deadline for San Francisco is December 5 and for Newport Beach is December 6.) As always, the seminar will be billed on a *pro rata* basis to BCG members. Non-members may attend for a per person fee as explained below.

REGISTRATION FEES FOR NON-MEMBERS: Non-member registration fee is \$795 for the first person attending from an institution and \$450 for each additional person from the same institution. We must receive your registration fees prior to the seminar date. **Non-members may pay by check or credit card.** To pay by credit card, follow the credit card instructions during the online registration process. (If you need to register online for the **first time**, please contact Paige Tyler at PTyler@ABMLawFirm.com or (800) 742-3600.) We accept MasterCard, Visa and Discover cards. To pay by check, make checks payable and mail to Aldrich Bonnefin & Moore, PLC, P.O. Box 19686, Irvine, CA 92623-1029, along with a copy of your registration. Non-member registration fees will be refunded only if cancellation notice is received on or before **Thursday, December 5, 2013**.

CANCELLATION POLICY: Should you need to cancel a registration, please give as much advance notice as possible to avoid a charge to Bankers' Compliance Group for lunch.

REFRESHMENTS & LUNCH

Continental breakfast and lunch will be provided. If an attendee has special dietary needs, such as a vegetarian meal, or a disability requiring accommodation, please contact Paige Tyler at PTyler@ABMLawFirm.com or 800-742-3600.

SPECIAL DRAWING FOR PRIZES!

Attendees will be eligible to enter a drawing for one of three excellent prizes at each location. **Winners must be present to win.**

HOTELS

For those requiring an overnight stay, the hotels have reserved a block of rooms at special room rates. The number of available rooms is limited, so reservations should be made as soon as possible. Taxis and commercial shuttles are available for transportation to and from the hotels.

Palace Hotel, San Francisco

2 New Montgomery Street, San Francisco, CA 94105

A single or double occupancy room is \$299 (plus sales tax) per night. The deadline for reserving rooms at this special rate is **Monday, November 11**. To make a reservation, please call 888-627-7196. Note that central reservations will not have this special discounted rate. For hotel parking, we validate for valet-parking only. Overnight hotel parking is not validated.

Newport Beach Marriott Hotel

900 Newport Center Drive., Newport Beach, CA 92660

A single or double occupancy room is \$169 (plus sales tax) per night. The deadline for reserving rooms at this special rate is **Thursday, November 21**. To make a reservation, you may call the hotel directly at 866-236-2427 and ask to be transferred to the Group Housing Department. The special room rate is listed under 2013 Bankers' Compliance Group. Overnight hotel parking is not validated.

Seminars will be held from 8:30 a.m.—3:00 p.m.